

## DECLARATION OF BENEFICIAL OWNERSHIP



This declaration has been issued under the Financial Institutions (Customer Due Diligence) Rules, No. 1 of 2016 issued in terms of the Section 2(3) of the Financial Transactions Reporting Act No 6 of 2006. This Declaration is required to be completed by Companies, Sole Proprietorship, Partnership, Clubs, Societies, Charities, Associations, NGOs, NPOs and Trusts as the case maybe.

### For Office Use Only

Facility No(s)			
BSP Code		Branch	Date

### Details of the Customer

Name of the Customer (Legal Person/Legal Arrangement for which the Account is being opened)	
Address of the Customer	
Registration Number of the Customer	

### Details of the Natural Person/(s) authorized to act on behalf of the customer

Full Name: Mr/Mrs/Miss/Dr/Rev	National Identity Card No.(NIC) (valid passport no. in the case of foreign nationals)	Date of Birth	Status/Position held in the legal entity which maintains the account relationship with the Company
			<input type="checkbox"/> Director <input type="checkbox"/> Proprietor <input type="checkbox"/> Office Bearer <input type="checkbox"/> Partner <input type="checkbox"/> Others(Specify).....
			<input type="checkbox"/> Director <input type="checkbox"/> Proprietor <input type="checkbox"/> Office Bearer <input type="checkbox"/> Partner <input type="checkbox"/> Others(Specify).....
			<input type="checkbox"/> Director <input type="checkbox"/> Proprietor <input type="checkbox"/> Office Bearer <input type="checkbox"/> Partner <input type="checkbox"/> Others(Specify).....
			<input type="checkbox"/> Director <input type="checkbox"/> Proprietor <input type="checkbox"/> Office Bearer <input type="checkbox"/> Partner <input type="checkbox"/> Others(Specify).....
			<input type="checkbox"/> Director <input type="checkbox"/> Proprietor <input type="checkbox"/> Office Bearer <input type="checkbox"/> Partner <input type="checkbox"/> Others(Specify).....
			<input type="checkbox"/> Director <input type="checkbox"/> Proprietor <input type="checkbox"/> Office Bearer <input type="checkbox"/> Partner <input type="checkbox"/> Others(Specify).....

### Declaration of the Natural Person authorized to act on behalf of the Customer

I declare that I am

- ☐ the sole beneficial owner of the customer for this account.
- ☐ not the beneficial owner of the customer of the accounts mentioned above. The details of natural persons who are the ultimate beneficial owners/ have controlling ownership interest (own or control 10% or more of the customer's equity) of the company, beneficial owners on whose behalf the account is being operated, and at least one person who exercises effective control of the legal entity are as follows:

Name	NIC or Passport no. (Country of Issue / Citizenship)	Date of Birth	Current Address	Source of Beneficial Ownership [1=Equity(indicate %), 2=Effective Control, 3=Person on Whose Behalf Account is Operated]	Check the status of PEP
					<input type="checkbox"/>
					<input type="checkbox"/>
					<input type="checkbox"/>
					<input type="checkbox"/>
					<input type="checkbox"/>

I confirm that the information provided above is true and accurate and I am duly authorized to provide the information referred to above on behalf of the company. I undertake and agree that I will notify Vallibel Finance PLC without delay of any changes in the ultimate beneficial owners' / controlling persons of the Legal Entity as declared in the table above.

.....  
Signature

.....  
Date

#### Definitions

**Beneficial Owner** - A natural person who ultimately owns or controls a customer or the person on whose behalf a transaction is being conducted and includes the person who exercises ultimate effective control over a person or a legal arrangement.

**Legal Person** - Entity other than a natural person that is able to establish a permanent customer relationship with a financial institution or otherwise owns property and includes a company, a body corporate, a foundation, a partnership or a trust.

**Politically Exposed Person -PEP** - An individual who is entrusted with prominent public functions either domestically or by a foreign country, or in an international organization and includes a Head of a State or a Government, a politician, a senior government officer, judicial officer or military officer, a senior executive of a State owned Corporation, Government or autonomous body but does not include middle rank or junior rank individuals.

**Legal Arrangement** - includes an express trust, a fiduciary account or a nominee.

#### **Verification of Beneficial Ownership**

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We have made best efforts to identify the beneficial ownership of the said Legal Entity. We confirm that we reviewed the following sources to ascertain the beneficial ownership.

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Share Register | <input type="checkbox"/> Partnership Agreement                       | <input type="checkbox"/> Board Meeting Minutes |
| <input type="checkbox"/> Annual Returns | <input type="checkbox"/> Constitution / Certificate of Incorporation | <input type="checkbox"/> Public Data-Base      |
| <input type="checkbox"/> Trust Deed     | <input type="checkbox"/> Others (Specify) .....                      |  |

Other Remarks:

Name of Authorized Officer and Employment No:

.....  
Signature

.....  
Date