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உங்கள் வாடிக்கையாளரை அறிந்து கொள்ளும் படிவம்

Know Your Customer (KYC) Profile Form

(2006 අංක 6 දරණ මුදල් ගනුදෙනු වාර්තා කිරීමේ පනත අනුව අවශ්‍යතාවයකි)

(நிதி பரிமாற்றம் அறிக்கையில் சட்டம் இல. 6 ஆண்டு 2006 தேவைப்பாட்டுக்கமைய)

(Requirement in terms of Financial Transaction Reporting Act No. 6 of 2006)

As required under the Financial Institutions (Customer Due Diligence) Rules, No. 01 of 2016 issued by the Financial Intelligence Unit of Central Bank of Sri Lanka in terms of Section 02 of the Financial Transactions Reporting Act, No 06 of 2006.

Companies Registered Under Companies Act

For Office Use Only											
Loan / Facility No											
BSP Code		Branch		Date	D	D	M	M	Y	Y	Y

Information of the Entity			
Name of the Business/ Firm/ Company/ Organization			
Business/Entity Registration Number			
Registered Office Address			
Correspondence Address (If different to Registered Address)			
Date of Incorporation			
Country of Incorporation			
Nature/purpose of business/organization			
Telephone Number/s		Fax No.	
E-mail			
Contact Person	Name		
	Designation		
	Telephone No.	Fax No.	
	E-mail		
Total No. of Directors			
Name of the Company Secretary			

Purpose for opening the account and the usage		
<input type="checkbox"/> Personal Use	<input type="checkbox"/> Business Use	<input type="checkbox"/> Other (Please Specify)

Customer Type (Please tick (✓) appropriate boxes)		
<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Public Unquoted Company	<input type="checkbox"/> Trusts
<input type="checkbox"/> Partnership	<input type="checkbox"/> Company Limited by Guarantee	<input type="checkbox"/> Charities
<input type="checkbox"/> Private Limited Company	<input type="checkbox"/> NGOs	<input type="checkbox"/> Other (Specify).....
<input type="checkbox"/> Public Quoted Company	<input type="checkbox"/> Clubs/Societies/Associations	

Expected Mode of Transactions	
<input type="checkbox"/> Cash	<input type="checkbox"/> Cheque
<input type="checkbox"/> Fund Transfer	
<input type="checkbox"/> Remittances	

Tax Declaration	
Income Tax File	Yes <input type="checkbox"/> No <input type="checkbox"/>
Income Tax File No	VAT Tax File No.
Tax Rate	

Details of all the Directors			
01			
Name (Mr/Mrs/Ms/.....)			
Address			
NIC No.			
Position Held			
02			
Name (Mr/Mrs/Ms/.....)			
Address			
NIC No.			
Position Held			
03			
Name (Mr/Mrs/Ms/.....)			
Address			
NIC No.			
Position Held			
04			
Name (Mr/Mrs/Ms/.....)			
Address			
NIC No.			
Position Held			
05			
Name (Mr/Mrs/Ms/.....)			
Address			
NIC No.			
Position Held			
06			
Name (Mr/Mrs/Ms/.....)			
Address			
NIC No.			
Position Held			

Details of Shareholders with 10% or More Voting Rights				
01				
Name				
Permanent/ Registered Office Address				
NIC/ Business Registration No	Legal Nature	Individual	Legal Entity	
Nationality/ Country of Incorporation				
Number of Shares	Voting Rights as a percentage (%)			
02				
Name				
Permanent / Registered Office Address				
NIC/ Business Registration No	Legal Nature	Individual	Legal Entity	
Nationality/ Country of Incorporation				
Number of Shares	Voting Rights as a percentage (%)			
03				
Name				
Permanent / Registered Office Address				
NIC/ Business Registration No	Legal Nature	Individual	Legal Entity	
Nationality/ Country of Incorporation				
Number of Shares	Voting Rights as a percentage (%)			
04				
Name				
Permanent / Registered Office Address				
NIC/ Business Registration No	Legal Nature	Individual	Legal Entity	
Nationality/ Country of Incorporation				
Number of Shares	Voting Rights as a percentage (%)			
05				
Name				
Permanent / Registered Office Address				
NIC/ Business Registration No	Legal Nature	Individual	Legal Entity	
Nationality/ Country of Incorporation				
Number of Shares	Voting Rights as a percentage (%)			

List of Subsidiaries/ Other Connected Business Associates**01**

Name of Company	
Registered Address	
Nature of Business	
Business Registration No.	Percentage (%) of voting rights held by the Company

02

Name of Company	
Registered Address	
Nature of Business	
Business Registration No.	Percentage (%) of voting rights held by the Company

03

Name of Company	
Registered Address	
Nature of Business	
Business Registration No.	Percentage (%) of voting rights held by the Company

04

Name of Company	
Registered Address	
Nature of Business	
Business Registration No.	Percentage (%) of voting rights held by the Company

05

Name of Company	
Registered Address	
Nature of Business	
Business Registration No.	Percentage (%) of voting rights held by the Company

06

Name of Company	
Registered Address	
Nature of Business	
Business Registration No.	Percentage (%) of voting rights held by the Company

Financial Information

Note: If a new establishment, the projected financial data should be completed under the caption "Current Year"

Are the Audited Financial Statements for last two years available? Yes ☐ No ☐

Description (Rs)	Current Year	Previous Year
Annual Sales Turnover		
Net Profit/ Loss		
Paid-up Capital and Accumulated Profit		

Details of Bankers

Bank		Bank	
Branch		Branch	
Account No.		Account No.	

Source of Funds: Expected source and nature of credits into the account

☐ Business Profits
 ☐ Commission Income
 ☐ Interest/ Investment Income
 ☐ Sale/ Business Turnover
☐ Sale of property/assets
 ☐ Others (Please specify)

Anticipated Volumes : Expected /Usual average income in Rupees per month

☐ Less than Rs. 500,000
 ☐ Rs. 500,001 to Rs. 1,000,000
 ☐ Rs. 1,000,001 to Rs.5,000,000
☐ Rs. 5,000,001 to Rs. 10,000,000
 ☐ Rs. 10,000,001 to Rs. 25,000,000
 ☐ Rs. 25,000,001 and above

Assets Owned by the Company (Not required, if the Latest Audited Accounts are available)

☐ Property/Premises
 ☐ Investments
 ☐ Motor Vehicles
 ☐ Financial Assets
 ☐ Others (specify)

Documents Required		
We forward herewith the following documents relevant to the account opening request (Tick '✓' as appropriate)	Enclosed	Not Applicable
Certified Copy of Certificate of Incorporation		
Certified Copy of Form - 40 (Registration of an existing company) or Form - 1 (Registration of a company)		
Certified Copy of Form – 20 (Change of Directors / Secretary and Particulars of Directors / Secretary)		
Certified Copy of Form – 13 (Change of Registered Office Address, if any)		
Certified Copy of Articles of Association		
Certificate to commence business (for Public Quoted Companies)		
Certified Copy of Board Resolution authorizing the opening of the account and Authorized Signatories (specimen format available)		
Copy of National Identity Card / Driving License / Valid Passport copies of all Directors and Authorized Signatories		
Company KYC Form		
Individual KYC Forms of all Directors and Authorized Signatories		
Latest Audited Accounts, if available		
Certified Copy of Form - 44 (Full address of the registered or principal office of a company incorporated outside Sri Lanka and its principal place of business established in Sri Lanka), if applicable		
Certified Copy of Form - 45(List and particulars of the Directors of a company incorporated outside Sri Lanka with a place of business established in Sri Lanka), if applicable		
Copy of the Board of Investment Agreement if a Board of Investment approved company		
Copy of the Export Development Board (EDB) approved letter if EDB approved company		
Declaration of Beneficial Ownership		

Declaration	
We confirm that the information provided above is correct and accurate and we are duly authorized to provide the information referred to above on behalf of the Company. We further undertake to keep Vallibel Finance PLC duly informed, as soon as possible, of change to the information provided above.	
Name	Name
Designation	Designation
Signature on Rubber Stamp	Signature on Rubber Stamp
Date	Date

For Office Use Only
1. Does the customer or any of its Directors appear in a Sanction List (UNSCR 1373 / 1267) or any other Alert List: <input type="checkbox"/> Yes <input type="checkbox"/> No If yes (Specify):
2. Overall risk rating according to Risk Profile Form: <input type="checkbox"/> High <input type="checkbox"/> Medium <input type="checkbox"/> Low Senior Manager's approval (If required)
3. Other Remarks:
Name of Officer and Employment No:
<div style="display: flex; justify-content: space-between;"> <div> Signature </div> <div> Date </div> </div>