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Know Your Customer (KYC) Profile Form

(2006 අං 6 දරන මුළු ගනුදෙනු වාර්තා කිරීමේ පහත අනුව අවගත්තාවයකි)

(න්ත්‍රි පරිමාත්‍රම ආර්ක්කයිල් සට්‍රම් දිල. 6 ඇඟිල 2006 තේව්පාට්‍රුක්කමය)

(Requirement in terms of Financial Transaction Reporting Act No. 6 of 2006)

As required under the Financial Institutions (Customer Due Diligence) Rules, No. 01 of 2016 issued by the Financial Intelligence Unit of Central Bank of Sri Lanka in terms of Section 02 of the Financial Transactions Reporting Act, No 06 of 2006.

Companies Registered Under Companies Act

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Loan / Facility No			Branch		Date	D	D	M	M	Y	Y	Y	Y
BSP Code													

Information of the Entity

Name of the Business/ Firm/ Company/ Organization			
Business/Entity Registration Number			
Registered Office Address			
Correspondence Address (If different to Registered Address)			
Date of Incorporation			
Country of Incorporation			
Nature/purpose of business/organization			
Telephone Number/s		Fax No.	
E-mail			
Contact Person	Name		
	Designation		
	Telephone No.	Fax No.	
	E-mail		
Total No. of Directors			
Name of the Company Secretary			

Purpose for opening the account and the usage

Personal Use Business Use Other (Please Specify)

Customer Type (Please tick (✓) appropriate boxes)

<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Public Unquoted Company	<input type="checkbox"/> Trusts
<input type="checkbox"/> Partnership	<input type="checkbox"/> Company Limited by Guarantee	<input type="checkbox"/> Charities
<input type="checkbox"/> Private Limited Company	<input type="checkbox"/> NGOs	<input type="checkbox"/> Other (Specify).....
<input type="checkbox"/> Public Quoted Company	<input type="checkbox"/> Clubs/Societies/Associations	

Expected Mode of Transactions

Cash Cheque
 Fund Transfer
 Remittances

Tax Declaration

Income Tax File	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Income Tax File No	VAT Tax File No.	
Tax Rate		

Details of all the Directors**01**

Name (Mr/Mrs/Ms/.....)	
Address	
NIC No.	
Position Held	

02

Name (Mr/Mrs/Ms/.....)	
Address	
NIC No.	
Position Held	

03

Name (Mr/Mrs/Ms/.....)	
Address	
NIC No.	
Position Held	

04

Name (Mr/Mrs/Ms/.....)	
Address	
NIC No.	
Position Held	

05

Name (Mr/Mrs/Ms/.....)	
Address	
NIC No.	
Position Held	

06

Name (Mr/Mrs/Ms/.....)	
Address	
NIC No.	
Position Held	

Details of Shareholders with 10% or More Voting Rights**01**

Name	
Permanent/ Registered Office Address	
NIC/ Business Registration No	Legal Nature
Nationality/ Country of Incorporation	Individual
Number of Shares	Legal Entity
	Voting Rights as a percentage (%)

02

Name	
Permanent / Registered Office Address	
NIC/ Business Registration No	Legal Nature
Nationality/ Country of Incorporation	Individual
Number of Shares	Legal Entity
	Voting Rights as a percentage (%)

03

Name	
Permanent / Registered Office Address	
NIC/ Business Registration No	Legal Nature
Nationality/ Country of Incorporation	Individual
Number of Shares	Legal Entity
	Voting Rights as a percentage (%)

04

Name	
Permanent / Registered Office Address	
NIC/ Business Registration No	Legal Nature
Nationality/ Country of Incorporation	Individual
Number of Shares	Legal Entity
	Voting Rights as a percentage (%)

05

Name	
Permanent / Registered Office Address	
NIC/ Business Registration No	Legal Nature
Nationality/ Country of Incorporation	Individual
Number of Shares	Legal Entity
	Voting Rights as a percentage (%)

List of Subsidiaries/ Other Connected Business Associates

01	Name of Company Registered Address Nature of Business Business Registration No.	Percentage (%) of voting rights held by the Company
02	Name of Company Registered Address Nature of Business Business Registration No.	Percentage (%) of voting rights held by the Company
03	Name of Company Registered Address Nature of Business Business Registration No.	Percentage (%) of voting rights held by the Company
04	Name of Company Registered Address Nature of Business Business Registration No.	Percentage (%) of voting rights held by the Company
05	Name of Company Registered Address Nature of Business Business Registration No.	Percentage (%) of voting rights held by the Company
06	Name of Company Registered Address Nature of Business Business Registration No.	Percentage (%) of voting rights held by the Company

Financial Information

Note: If a new establishment, the projected financial data should be completed under the caption "Current Year"

Are the Audited Financial Statements for last two years available?		Yes	No
Description (Rs)		Current Year	Previous Year
Annual Sales Turnover			
Net Profit/ Loss			
Paid-up Capital and Accumulated Profit			

Details of Bankers

Bank		Bank	
Branch		Branch	
Account No.		Account No.	

Source of Funds: Expected source and nature of credits into the account

Business Profits Commission Income Interest/ Investment Income Sale/ Business Turnover
 Sale of property/assets Others (Please specify)

Anticipated Volumes : Expected /Usual average income in Rupees per month

Less than Rs. 500,000 Rs. 500,001 to Rs. 1,000,000 Rs. 1,000,001 to Rs.5,000,000
 Rs. 5,000,001 to Rs. 10,000,000 Rs. 10,000,001 to Rs. 25,000,000 Rs. 25,000,001 and above

Assets Owned by the Company (Not required, if the Latest Audited Accounts are available)

Property/Premises Investments Motor Vehicles Financial Assets Others (specify)

Documents Required

We forward herewith the following documents relevant to the account opening request
(Tick '✓' as appropriate)

Certified Copy of Certificate of Incorporation

Certified Copy of Form - 40 (Registration of an existing company) or Form - 1 (Registration of a company)

Certified Copy of Form – 20 (Change of Directors / Secretary and Particulars of Directors / Secretary)

Certified Copy of Form – 13 (Change of Registered Office Address, if any)

Certified Copy of Articles of Association

Certificate to commence business (for Public Quoted Companies)

Certified Copy of Board Resolution authorizing the opening of the account and Authorized Signatories (specimen format available)

Copy of National Identity Card / Driving License / Valid Passport copies of all Directors and Authorized Signatories

Company KYC Form

Individual KYC Forms of all Directors and Authorized Signatories

Latest Audited Accounts, if available

Certified Copy of Form - 44 (Full address of the registered or principal office of a company incorporated outside Sri Lanka and its principal place of business established in Sri Lanka), if applicable

Certified Copy of Form - 45(List and particulars of the Directors of a company incorporated outside Sri Lanka with a place of business established in Sri Lanka), if applicable

Copy of the Board of Investment Agreement if a Board of Investment approved company

Copy of the Export Development Board (EDB) approved letter if EDB approved company

Declaration of Beneficial Ownership

Declaration

We confirm that the information provided above is correct and accurate and we are duly authorized to provide the information referred to above on behalf of the Company. We further undertake to keep Vallibel Finance PLC duly informed, as soon as possible, of change to the information provided above.

Name									Name								
Designation									Designation								
Signature on Rubber Stamp									Signature on Rubber Stamp								
Date	D	D	M	M	Y	Y	Y	Y	Date	D	D	M	M	Y	Y	Y	Y

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1. Does the customer or any of its Directors appear in a Sanction List (UNSCR 1373 / 1267) or any other Alert List:

Yes No If yes (Specify):

2. Overall risk rating according to Risk Profile Form:

High Medium Low

Senior Manager's approval (If required)

3. Other Remarks:

Name of Officer and Employment No:

.....

Signature

.....

Date